



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/994,455 12/19/97 ICHIMURA I SON-1212

O I P E  
RONALD P KANANEN  
BAUER FERGUSON & GRAUER  
THE LION BUILDING  
1223 20TH STREET NW SUITE 501  
WASHINGTON DC 20036  
0232/0326 NOT ASSIGNED

2753  
DATE MAILED:

03/26/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.00.

1. The statutory basic filing fee is:

missing.  
 insufficient.

05/29/1998 ~~Statement of Small Entity~~ 08994455 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

01 FEE 105. Additional claim fees of \$ 130.00, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the newly submitted items.  
 does not identify the application to which it applies.

does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Sector \$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 18 1998 re the Patent Application of

ISAO ICHIMURA et al.

Serial No. 08/994,455

Filed: December 19, 1997

For: OPTICAL DISC RECORDING/  
REPRODUCTION APPARATUS  
AND METHOD

Attn: Applications Branch

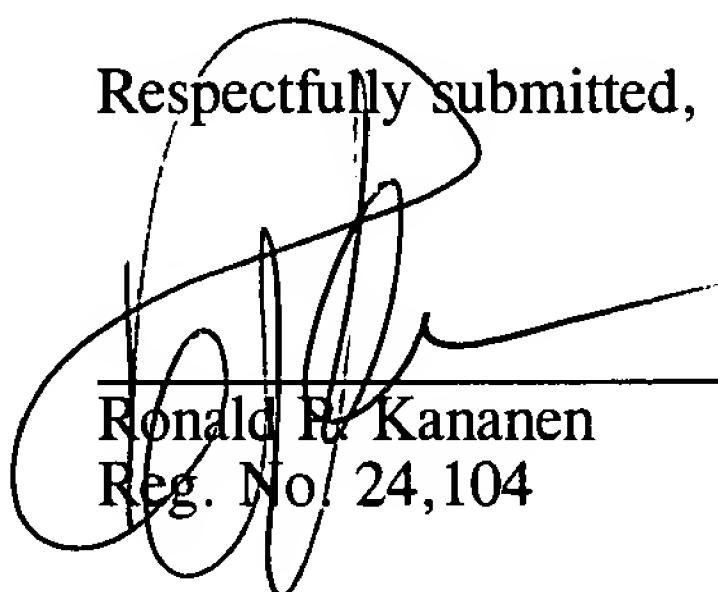
**RESPONSE TO NOTICE AND  
SUBMISSION OF EXECUTED DECLARATION**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed March 26, 1998 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A Duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

  
Ronald R. Kananen  
Reg. No. 24,104

Dated: May 18, 1998

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Telephone: (202) 955-3750

#12 S.H.

#13

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

KAZUO ICHIMURA et al.

Serial No. 08/994,455

Filed: December 19, 1997

For: OPTICAL DISC RECORDING/  
REPRODUCTION APPARATUS  
AND METHOD

Group Art No.: 2753

Examiner: Unknown

LETTER RE: SUBMISSION OF FORMAL DRAWINGSAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We have enclosed formal drawings in the above-identified application to replace the informal drawings submitted with the application on December 19, 1997.

Respectfully submitted,

Ronald P. Kananen  
Reg. No. 24,104

Dated: May 18, 1998

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